

<p style="text-align: center;"><b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANTS INFORMATION</b> <b>DISCLOSURE STATEMENT</b></p>	<p style="text-align: center;"><b>ATTORNEY DOCKET NO.</b></p> <p style="text-align: center;">SP03-132</p>	
	<p style="text-align: center;"><b>SERIAL NO.</b></p> <p style="text-align: center;">10/676352</p>	
	<p style="text-align: center;"><b>APPLICANT</b></p> <p style="text-align: center;">Eric J. Mozdy, et al.</p>	
	<p style="text-align: center;"><b>FILING DATE</b></p> <p style="text-align: center;">September 30, 2003</p>	

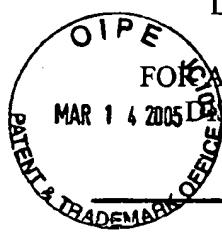
<b>REFERENCE DESIGNATION</b>		<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
u	AA	4,755,667	07-05-88	H. Marsoner et al.	250	227	
↓	AB	5,712,705	01-27-98	C. Fattinger et al.	356	354	
↓	AC	6,455,004	09-24-02	Kurt Tiefenthaler	422	91	
u	AD	2003/0133640	07-17-03	Kurt Tiefenthaler	385	12	
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
u	AL	WO 95/03538	02-02-95	PCT			X
	AM						
	AN						
	AO						
	AP						
	AQ						

<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)</b>		
u	AR	H.P. Ho et al., "Surface Plasmon Resonance Sensor Based On The Measurement Of Differential Phase", Review of Scientific Instruments, Vol. 73, No. 10, October 2002, pgs. 3534-3539
u	AS	K. Tiefenthaler, "Integrated Optical Couplers As Chemical Waveguide Sensors", Advances in Biosensors: A Research Annual, London, JAI Press, Great Britain, Vol. 2, 1992, pgs. 261-289
	AT	
	AU	
	AV	
	AW	

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
<i>C. Choi</i>	<i>12/09/06</i>

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


**FORM PTO-1449 (MODIFIED)**
**LIST OF PATENTS AND  
PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**
**ATTORNEY DOCKET NO.**
**SP03-132**
**SERIAL NO.**
**10/676352**
**APPLICANT**
**Eric J. Mozdy, et al.**
**FILING DATE**
**September 30, 2003**
**GROUP:**
**2878 1641**
**REFERENCE DESIGNATION**
**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
u	AA	2002/0127565	09-12-02	B. Cunningham et al.	435	6	
	AB	2002/0168295	11-14-02	B. Cunningham et al.	422	82.05	
	AC	2003/0017580	01-23-03	B. Cunningham et al.	435	287.2	
	AD	2003/0017581	01-23-03	Peter Li et al.	435	287.2	
	AE	2003/0026891	02-06-03	Jean Qiu et al.	427	58	
	AF	2003/0027327	02-06-03	B. Cunningham et al.	435	287.2	
	AG	2003/0027328	02-06-03	B. Cunningham et al.	435	287.2	
	AH	2003/0032039	02-13-03	B. Cunningham et al.	435	6	
	AI	2003/0059855	03-27-03	B. Cunningham et al.	435	7.9	
	AJ	2003/0068657	04-10-03	Bo Lin et al.	435	7.9	
a	AK	2003/0077660	04-24-03	Homer Pien et al.	435	7.1	

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub-Class	Translation Yes	No
	AL							
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**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

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**EXAMINER:**
*C. Cl.*
**DATE CONSIDERED:**
*12/9/06*

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (MODIFIED)		ATTORNEY DOCKET NO.	SERIAL NO.
<p style="text-align: center;"><b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT</b></p>		SP03-132	10/676352
		APPLICANT Eric J. Mozdy, et al.	
		FILING DATE September 30, 2003	GROUP: 2878-1641

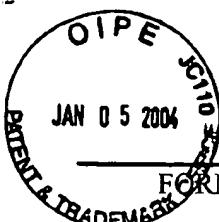
REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS					
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
u	AA	2003/0092075	05-15-03	Jane W. Pepper	435	7.9	
	AB	2003/0113766	06-19-03	Jane W. Pepper et al.	435	6	
	AC	2004/0132172	07-08-04	B. Cunningham et al.	435	287.2	
	AD	2004/0132214	07-08-04	Bo Lin et al.	436	518	
u	AE	2004/0151626	08-05-04	B. Cunningham et al.	422	58	
u	AF	2004/0223881	11-11-04	B. Cunningham et al.	422	82.05	
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
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	AP						
	AQ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
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EXAMINER: *C. Chin* DATE CONSIDERED: *12/9/04*

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FORM PTO-1449 (MODIFIED)

LIST OF PATENTS AND  
PUBLICATIONS  
FOR APPLICANTS INFORMATION  
DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.

SP03-132

SERIAL NO.

10/676,352

APPLICANT

Jacques Gollier, *et al.*

FILING DATE

September 30, 2003

GROUP:

Group Art Unit 2878 / 671

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
u	AA	2002/0128234	09-12-02	Jeffrey A. Hubbell et al.	514	100	
l	AB	2003/0054378	03-20-03	Isao Karube et al.	435	6	
↓	AC	6,600,563	07-29-03	Dar Bahatt et al.	356	445	
u	AD	6,628,376	09-30-03	Petr I. Nikitin et al.	356	38	
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation Yes No
u	AL	WO 99/30135	06-17-99	PCT			X
u	AM	WO 99/31275	06-24-99	PCT			X
u	AN	WO 01/40757	06-07-01	PCT			X
	AO						
	AP						
	AQ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER:

*C. Chin*

DATE CONSIDERED:

*12/9/06*

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